

01/08/02  
jc996 U.S. PTO

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A

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jc925 U.S. PTO  
10/04/99  
01/08/02

Box Patent Application  
Assistant Commissioner for Patents  
Washington, DC 20231

**Express Mail No.:** EK896968206US  
**Attorney Docket No.:** 2001841-0011  
**Date Filed:** January 8, 2002

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Dear Sir:

Please find enclosed a patent application and papers as follows for:

**Inventor(s):**

| <u>Given Name (first and middle)</u> | <u>Family Name or Surname</u> | <u>Residence (City and State or Foreign Country)</u> |
|--------------------------------------|-------------------------------|--|
| Brett P.                             | Masters                       | Brookline, MA  |
| John P.                              | Rodgers                       | Arlington, MA  |
| Marthinus C.                         | van Schoor                    | Medford, MA  |

**Title of the Invention:** **Laser Machining of Electroactive Ceramics**

A) **APPLICATION ELEMENTS:**

- 1) ☐ **Fee Transmittal Form** (original and duplicate submitted for fee processing)
- 2) ☒ **Applicant Claims Small Entity Status** (see 37 C.F.R. § 1.27)
  - a) ☒ **Statement Verifying Small Entity Status** (optional)
- 3) ☒ **Specification**
  - ☒ Descriptive Title of the Invention
  - ☒ Cross References to Related Applications (if applicable)
  - ☐ Statement Regarding Federally Sponsored R & D (if applicable)
  - ☐ Reference to sequence listing, a table, or a computer program listing appendix
  - ☒ Background of the Invention
  - ☒ Summary of the Invention
  - ☒ Description of the Drawing (if filed)
  - ☒ Detailed Description of the Invention
  - ☒ Claim(s)
  - ☒ Abstract of the Invention

**TOTAL PAGES:** 13

10041919-010802

4) ☒ **Drawing(s)** (35 U.S.C. § 113)

TOTAL SHEETS: 6

a) ☐ Formal Drawings (if checked)

5) ☒ **Oath or Declaration**

TOTAL PAGES: 3

a) ☐ Newly Executed (original or copy)

b) ☒ Copy from a prior application (37 C.F.R. § 1.63(d))-for continuation/divisional application

i) ☐ Deletion of inventor(s): Signed Statement deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

c) ☐ Unexecuted

6) ☐ **Application Data Sheet**. See 37 C.F.R. § 1.76.

7) ☐ **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**

8) ☐ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all are necessary)

a) ☐ Computer Readable Form (CRF)

b) ☐ Specification Sequence Listing on:

i) ☐ CD-ROM or CD-R (2 copies); or

ii) ☐ Paper

c) ☐ Statements verifying identity of above copies

**B) ACCOMPANYING APPLICATION PARTS:**

9) ☒ **Assignment Papers** (cover sheet & document(s))

10) ☐ **37 C.F.R. § 3.73(b) Statement** (when there is an assignee)

11) ☒ **Power of Attorney**

12) ☐ **English Translation Document** (if applicable)

13) ☐ **Information Disclosure Statement (IDS)/PTO-1449**

14) ☐ **Copies of IDS Citations**

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- 15) ☒ **Preliminary Amendment**
- 16) ☒ **Return Receipt Postcard (MPEP 503)** (specifically itemized)
- 17) ☐ **Certified Copy of Priority Document(s)** (if foreign priority is claimed)
- 18) ☐ **Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i)**
- 19) ☐ **OTHER:** (if applicable, specified below)

C) FOR CONTINUING APPLICATIONS: (the appropriate box is checked, and certain information is provided below and in a preliminary amendment)

☐ continuation ☒ divisional ☐ continuation-in-part (CIP)

of prior application no.: 09/264,113

filed: March 9, 1999

examiner: J. Johnson

group/art unit: 1725

**for continuation or divisional applications only:** The entire disclosure of the prior application, from which an oath or declaration is supplied as detailed above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

D.) PRIORITY CLAIM(S):

This application claims the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below:

| <u>Application Serial No.</u> | <u>Filing date</u> | <u>Status (patented, pending, abandoned)</u> |
|-------------------------------|--------------------|--|
| 09/264,113                    | March 9, 1999      | pending                                      |

This application claims the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

| <u>Application Serial No.</u> | <u>Filing date</u> | <u>Status (pending, expired, abandoned)</u> |
|-------------------------------|--------------------|---|
|-------------------------------|--------------------|---|

E) METHOD OF PAYMENT OF FILING FEES FOR THIS APPLICATION:

- ☒ Applicant claims small entity status 37 C.F.R. § 1.27.
- ☒ A check is enclosed to cover the filing fees.
- ☒ The commissioner is hereby authorized to charge filing fees or to credit any overpayment to deposit account number 03-1721.

|   |               |
|---|---------------|
| Basic Filing Fee (Small Entity)                                 | \$370.00      |
| Additional Fees:  |               |
| Total Number of Claims in excess of 20: (10- 20) x \$9          | \$0.00        |
| Number of Independent Claims in excess of 3:(       - 3) x \$42 | \$0.00        |
| Multiple Dependent Claims \$140:                                | <u>\$0.00</u> |
| Total Filing Fee:   | \$370.00      |

F) CORRESPONDENCE ADDRESS:



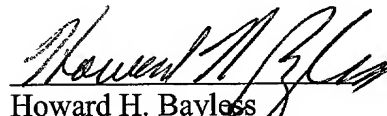
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☒ Customer Bar Code Label: PATENT TRADEMARK OFFICE

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Respectfully Submitted,

  
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